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# An experimental study of positive leaders initiating rocket-triggered lightning

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## Abstract

Simultaneous, co-located measurements of ambient, electrostatic-field profiles and rockettriggered lightning phenomenology beneath Florida thunderstorms are reported. Ambient-field conditions that are sufficient to initiate and sustain the propagation of positive lightning leaders are identified. It is found that lightning can be triggered with grounded triggering wires approximately 400 m long when the ambient fields aloft are as small as 13 kV/m (foul-weather polarity). Ambient potential differences between the height of the triggering wires and ground were as small as -3.6 MV (negative wrt. earth) when lightning occurred. 'Precursors,' the first measurable current pulses from the triggering wires, were initiated at similar fields aloft but at wire heights only about half as large, where ambient potentials were as small as -1.3 MV. The mean speed of one 'failed leader' is estimated at  $1.9 \times 10^4$  m/s over 35 m of propagation. The lengths of 'leader' extension and positive-streamer 'fan' during individual impulses of multiplepulse precursors are estimated to be 0.7 and 2.0 m, respectively. © 1999 Elsevier Science B.V. All rights reserved.

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# 1. Introduction

'Classical' rocket-triggered lightning (St. Privat D'Allier Group, 1985) is initiated by a small rocket towing a grounded wire aloft under a thunderstorm. The rocket-and-wire

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technique of triggering lightning was pioneered by Newman (1958) and Newman et al. (1967). The key to its success is likely an observation by Brook et al. (1961) that the sufficiently rapid introduction of a grounded conductor into a high-field region might actually initiate the discharge. It is now well-established that this type of lightning normally begins with a positively charged 'leader' propagating upward from the tip of the triggering wire toward the electrified cloud. In this paper, the term, 'leader,' denotes a highly ionized, conducting, filamentary channel extending into virgin air. The term, 'positive *streamer*,' in contrast, will always refer to the poorly conducting 'corona' space-charge waves that have been studied by Dawson and Winn (1965), Phelps and Griffiths (1976), Allen and Ghaffar (1995) and others.

Apparently identical positive leaders have been shown to initiate 'altitude'-triggered lightning (Laroche et al., 1989b), which is produced by a similar rocket towing an *un*grounded wire aloft. The same phenomenon has also been inferred to begin most strikes to instrumented aircraft (Boulay et al., 1988; Mazur, 1989b) and most 'upward-initiated' discharges to towers (Uman, 1987, Chap. 12), and it is surely important in natural lightning as well (Mazur, 1989a). Thus, the classical rocket-and-wire technique offers a unique opportunity to study the physics of a key process in both the artificial initiation of, and the continued development of most forms of, lightning.

Considerable information is available on the phenomenology of rocket-triggered positive leaders, including currents measured at the base of the triggering wires and electric-field changes at the ground produced by these currents (e.g., Laroche et al., 1988; Lalande et al., 1998), and propagation velocities and other interesting optical characteristics (Idone and Orville, 1988; Idone, 1992). From first principles (e.g., Smythe, 1968, Sections 2.19 and 3.11), it is evident that the energy that drives all lightning discharges is extracted from the ambient electrostatic field. Therefore, one would like to know the ambient-field intensity, and its spatial distribution, associated with both unsuccessful and successful triggering attempts. Unfortunately, it is well-known that surface-based measurements can be screened from more intense fields aloft by a layer of corona-produced space charge (e.g., Standler and Winn, 1979). There are few in situ measurements aloft from which to determine the necessary or sufficient conditions for propagation of positive leaders or with which to explain variations in their behavior. These previous measurements are discussed in Section 2.

This paper describes in detail a major field experiment conducted in Florida during the summer of 1996. The objective was, in effect, to extend experimental work on long laboratory sparks to kilometer length scales. We present an overview of the results, including sample measurements from the various instruments, and offer preliminary conclusions about the triggering conditions. The long-term goal of this work is to gain insight into the conditions for triggering lightning and to determine the response of lightning-scale positive leaders to their ambient energy source.

# 2. Previous measurements and theory

The best previous measurements of the ambient-field distribution associated with triggered lightning were reported by Chauzy et al. (1991) and by Soula and Chauzy

(1991). They presented recordings of the vertical component of electrostatic field 0 m, 436 m, and 603 m above ground level (AGL) during four triggering attempts in 1989 at the Kennedy Space Center in Florida. The first three rockets were launched from an isolated platform suspended about 150 m AGL, and they all triggered altitude flashes when they reached heights ranging from 320 to 360 m AGL. The fourth rocket was launched from the ground and triggered a classical flash at a height of 300 m. The ambient field at the 603 m level immediately before these four triggering events ranged from about 50 to 65 kV/m. The field at the 436 m level was only slightly smaller in each case, but the field at 0 m did not exceed 5 kV/m. (Note that the 'physics' sign convention used throughout this paper is that the electric-field vector points away from positive, or toward negative, charge. Thus, a positive vertical component of field, as reported above, is produced by dominant negative charge overhead.) No measurements of field aloft were made during unsuccessful triggering attempts, so these results are only examples of conditions that are sufficient for the initiation and extensive propagation of positive leaders. Further, the authors did not conclusively rule out contamination of their measurements by either charging of, or conduction along, the two Kevlar cables tethering the balloon and suspending the field-mills (e.g., Latham, 1974).

Several groups have deliberately sought to trigger lightning using instrumented aircraft. Reliable ambient-field measurements from an aircraft flying inside a highly electrified cloud are extremely difficult (e.g., Jones et al., 1993; Winn, 1993). Nevertheless, Laroche et al. (1989a) have summarized data from two such aircraft campaigns that indicate ambient-field magnitudes averaging 51 and 70 kV/m just prior to lightning strikes. These authors suggest that horizontal-field magnitudes (parallel to the long dimensions of the aircraft—25 to 40 m in these cases) on the order of 40 kV/m are required to trigger lightning.

Positive leaders have been studied extensively with 'switching impulses' in the laboratory, where the driving fields can be directly controlled and the phenomenology can be recorded in detail. Unfortunately, most laboratory sparks are apparently not long enough to become fully 'thermalized' and, hence, exhibit voltage drops on the order of 100 kV/m along their channels (e.g., Les Renardières Group, 1977, Fig. 4.5.13). Even at gap lengths of 27 m (for 'critical' time to voltage crest), the observed dependence of '50% breakdown potential' on gap length suggests a marginal increase in applied potential per unit leader extension of about 55 kV/m (Pigini et al., 1979).

It seems likely, however, that lightning can propagate in average fields significantly smaller than those indicated above. Based on rough estimates from a variety of sources, Pierce (1971) suggested that lightning could be triggered in ambient fields of several kilovolts per meter if the ambient potential spanned by the triggering conductor (building, rocket, aircraft, etc.) reached a few megavolts. Further, the potential in the negative charge centre of a thundercloud has been estimated to be of order -100 MV immediately before a cloud-to-ground discharge (Willett et al., 1993, Fig. 77; or by line-integration of the 19 July rocket sounding in Marshall et al., 1995), and lightning discharges often exceed 10 km in length (e.g., Proctor, 1981, 1988).

The supposition that lightning can propagate in relatively low fields is strengthened by laboratory measurements of voltage drops in DC arcs, which imply internal fields of only a few kilovolts per meter at the current levels of a few Amperes that are typical of rocket-triggered positive leaders (e.g., King, 1961). Based on outdoor experiments with artificial positive sparks having lengths in excess of 40 m, Mrázek et al. (1996) and Mrázek (1997) suggest a relation between gap length, L, and 50% breakdown voltage,  $V_{50}$ , of the form:

$$V_{50} = 1.6 \,\mathrm{MV} + LE_+. \tag{1}$$

where  $E_+$ , the field inside the thermalized part of the leader, is estimated to be a few kilovolts per meter. This relation evidently implies that potentials of a only few megavolts can cause propagation over distances of a few hundred meters.

A self-consistent, numerical model that represents in detail many of the physical processes believed to be involved in positive-leader propagation has recently been developed (Bondiou and Gallimberti, 1994; Goelian et al., 1997). The application of this model to laboratory-scale discharges by the above authors has been reasonably successful. Extensions of the model have also been applied to the upward connecting discharges beneath altitude-triggered lightning (Lalande et al., 1996), to those in natural, negative, cloud-to-ground strikes to towers (Lalande et al., 1997), and to the kilometer-scale positive leaders that begin both upward-initiated negative flashes (i.e., flashes effectively transferring negative charge to ground) to tall towers (Lalande et al., 1997) and classical rocket-triggered lightning (Bondiou et al., 1994). Until now, there has been no experimental data suitable for model verification in the last case.

## 3. Experiment

The experimental approach was to obtain nearly vertical profiles of the ambient electrostatic field beneath thunderstorms, a few seconds prior to triggering attempts using the classical rocket-and-wire technique. By recording the behavior of any discharges that were triggered in the observed field distribution, we hoped not only to place empirical bounds on the triggering conditions but also to validate the existing numerical model of positive-leader propagation and, more generally, to gain a better understanding of the behavior of these leaders over kilometer-long paths.

The vector ambient-field profiles were measured with sounding rockets very similar to those described by Marshall et al. (1995) and in greater detail by Willett et al. (1992, 1993). Rockets were chosen both because of the obvious difficulties in operating tethered balloons beneath thunderstorms and in order to avoid the errors likely to be caused in aircraft or free-balloon profiles by the expected time variations in the ambient field. There have been several previous attempts to measure the 'longitudinal' component (along the trajectory) of the ambient field using small rockets. Ruhnke (1971) and Pigere (1979) both used a nose-mounted corona probe, linearized by a series resistor, to measure the potential difference between the nose and tail of the rocket. Blanchet et al. (1994) used field mills of novel design to measure the 'radial' field, averaged around the circumference of the payload, at two longitudinal positions. Tatsuoka et al. (1991) used either a pair of conventional field mills or a pair of 'slow' field-change (capacitive)

antennas, one facing forward and the other facing aft. None of these techniques has been fully exploited to date.

The rocket payload used in the present experiment had eight independent field mills (stators) located at three longitudinal and four circumferential positions. All eight stators were shuttered by a single rotating shell (rotor) that covered most of the payload body. The changes in design and calibration of this sounding rocket since Marshall et al. (1995) are described in Appendix A. The main improvements include a hemispherical nose 'cone' to reduce the likelihood of corona from the nose, more extended spacing of the individual field-mill 'stators' along the payload body to maximize sensitivity to the longitudinal component of the ambient field, speed regulation of the field-mill 'rotor' to minimize data loss during acceleration, and full calibration of the entire rocket system in a large, uniform-field chamber.

The U.S. Army National Guard Training Site at Camp Blanding in north-central Florida was chosen for the experiment because it combined moderately high thunderstorm incidence, flat terrain, previous experience with rocket-triggered lightning (e.g., Uman et al., 1997), and a large artillery/bombing range. This last was essential for the controlled airspace and impact area required by the sounding rockets. A map showing the relative locations of the experimental sites is given in Fig. 1.

The sounding rockets were fired from a launcher designed to hold five rounds and located about 85 m southwest of the triggering-rocket launcher. They were launched at



Fig. 1. Map of the experiment sites in 1996. TRG represents the location of our triggering-rocket launcher (29°55'.22 N, 81°57'.16 W—see also Fig. 3). TM refers to our telemetry site—also the location of the streak camera and the distant video and still cameras. The heavy line indicates the typical ground track of a sounding rocket. UF is the unrelated triggering site operated by the University of Florida (Uman et al., 1997).

an 80° elevation angle and an azimuth of 194°. Under these conditions, the trajectories reached apogee at an altitude of about 3.7 km AGL, 24 s after ignition, and impact about 2.0 km down range at 55 s. Velocities varied from a maximum of 440 m/s just before motor burnout down to 36 m/s at apogee and back up to 200 m/s at impact. Fig. 2 shows a typical sounding-rocket trajectory. The payloads were controlled, the rockets fired, and the telemetry recorded on an instrumentation recorder at the telemetry site, located 1.97 km due west of the triggering site. Still, streak, and video cameras were also located at the telemetry site to give an overview of the entire flash.

The lightning was triggered using classical triggering rockets and a 12-tube launch platform provided by the Centre d'Etudes Nucleaires de Grenoble (Laroche et al., 1989b). These rockets were fired by pneumatic control from a well-shielded trailer located about 45 m to the west-southwest of the launcher. Six rockets were loaded at a time. The lower ends of all six triggering wires were connected to ground through a 207 m $\Omega$ , non-inductive shunt for measurement of the leader currents. The voltage drop across this shunt was relayed to the triggering trailer via fiber optics. The structure of the launch platform, including the aluminum launch tubes and a lightning rod that extended to about 8 m AGL, were directly grounded to divert the much larger currents from return strokes around the shunt. This grounded structure also provided considerable shielding



Fig. 2. Typical trajectory of the sounding rockets. The plane of the trajectory is vertical and in the azimuth of 194° from the launcher. Tick marks show typical locations and flight times of motor burnout (1.58 s), apogee, and impact.

site is given in Fig. 3.

to the low-current wiring (except, of course, for the extending triggering wire during a launch) from displacement currents due to natural lightning. A diagram of the triggering

Other instrumentation at the triggering site included a field mill (response time 0.1 s or 0.025 s) for making launch decisions, a 'fast' field-change antenna (decay time constant 46.4  $\mu$ s or 520  $\mu$ s) for recording the wide-band structure of the fields produced by the advancing leader, and a 'slow' field-change antenna (decay time constant, 462 ms) for documenting the overall electrostatic-field variation due to the extending triggering wire and leader. ('Fast' and 'slow' field-change antennas have been defined by Kitigawa and Brook, 1960). Data from these instruments were relayed to the triggering trailer via fiber optics (kindly provided by Institut de Recherche d'Hydro-Québec and by the Directorate for Applied Technology, Test and Simulation, U.S. Army White Sands Missile Range). The current and field-change data were recorded on triggered digitizers in this trailer, as described below. In addition, these data and the field mill signal were recorded on a second instrumentation recorder, both for backup and to provide longer duration than that of which the digitizers were capable. Finally, the triggering trailer also housed video and still cameras for close-up views of the triggered lightning and another video camera aimed at the sounding-rocket launcher.

Current and fast-field-change measurements were digitized both at 2 Msample/s for 1 s with 1/8 pretrigger depth and at 10 Msample/s for 50 ms with 1/7 pretrigger depth. Both the 2 Msample/s and the 10 Msample/s digitizers were triggered simulta-



Fig. 3. Layout of the rocket launchers and instrumentation at the triggering site (TRG in Fig. 1). 'Trailer' shows the location and approximate shape of the triggering trailer, also the site of the close video and still cameras, including its overhead screen.

neously from the TTL 'trigger output' of a 40-MHz oscilloscope that displayed the current signal. This enabled control of the trigger threshold, which was set between 2 and 10 A, through use of the scope's analog trigger. Slow-field-change measurements were digitized at 25 ksample/s for 4 s without pretrigger, this digitizer being manually started upon observation of the triggering rocket's ascent. All three digitizers had 12-bit resolution. The scope- and manual-trigger signals were recorded, together with IRIG-B time code, on the instrumentation tape recorder, so that the absolute trigger times could be established after the fact.

Current and slow-field records, as well as the field-mill signal, were transmitted to the recording equipment through Nicolet Isobe 3000 fiber optics with a passband from 0 to 15 MHz, while fast-field records utilized Nanofast OP-50 fiber optics with a passband from 300 Hz to 150 MHz. Each signal cable was terminated in 50  $\Omega$  at the instrumentation recorder, with single-pole low-pass filters (with 3 dB frequency at half the sampling rate to suppress aliasing) tapping off this line to the high-impedance inputs of the digitizers. Filtering was thus peculiar to each record, the instrumentation recorder providing its own filtering with passbands of 0 Hz–250 kHz (FM channels) and 400 Hz–1 MHz (direct channels).

Two megasample per second currents and fast-field-change data were obtained for Flights 3-8 and 12-15; 10 Msample/s data were taken for Flights 1-8; and 25 ksample/s slow field data secured for Flights 12, 14, and 15 (see Table 1). Neither the 10 Msample/s results nor the slow-field data will be discussed further in this paper.

Sounding and triggered-lightning data recorded, respectively, at the telemetry and triggering sites were kept synchronized by separate GOES-satellite timing receivers at the two sites. In addition to generating IRIG-B time code that was recorded on the two instrumentation recorders, these clocks flashed LEDs at 1 pps in the fields of view of the video cameras. These video cameras, in turn, provided essential information on the timing of luminous events in the triggered lightning and on the ignition times and trajectories of the triggering rockets. The latter were essential for estimating the altitudes at which currents and field changes (e.g., from 'precursors'—brief current pulses from the tip of the wire that do not initiate stable leader propagation; e.g., Barret, 1986; Laroche et al., 1988) were produced prior to the actual triggering (the altitude and time of which were determined directly from the still and video cameras).

Fig. 4 shows a representative trajectory and velocity profile for the triggering rockets, which was determined from the video and photographic data mentioned above. A simple, piecewise-linear-acceleration model, also shown in the figure, was fitted to height-vs.-time observations of all 15 triggering rockets. The root mean square (RMS) relative altitude error of this fit (excluding Flight 3, from which no sounding-rocket data were obtained) was only 6.5%. From this trajectory, it can be seen that the triggering-rocket velocities were between 200 and 250 m/s at all the altitudes of interest in this paper (Tables 2 and 3).

The normal operating procedure was to fire a triggering rocket 1 s after each sounding rocket. Because of the approximately 2 s delay in the pneumatic fire-control system, plus another 2 s of flight time to typical triggering altitudes, lightning occurred roughly 5 s after launch of the sounding rocket, when it had reached an altitude of some 1500 m AGL. This seemed a reasonable compromise between maximizing the vertical

Flight	Sounding	Triggering	I, $\Delta E$ record	Fast $\Delta E$ decay	Slow $\Delta E$	Triggering rocket
number	quality	result		time (µs)	decay time	launch time (UT)
(1)	OK	Natural	Analog	46	None	5 August 232055.17
2	OK	Triggered	Analog	46	None	5 August 233934.10
(3)	None	None	Digital	46	None	7 August 223909.73
(4)	Poor	Wire broke	Digital	46	None	11 August 181825.55
(5)	OK	Wire broke	Digital	46	None	11 August 182508.27
6	OK	Triggered	Digital	46	None	11 August 182939.28
7	OK	Triggered	Digital	46	None	11 August 183502.78
8	OK	Triggered	Digital	520	None	14 August 153208.82
9	OK	Triggered	Analog	520	None	14 August 201604.40
(10)	OK	Natural	Analog	520	None	14 August 202157.98
11	OK	None	Analog	520	462 ms	25 August 210959.15
12	OK	Triggered	Digital	520	462 ms	25 August 214154.88
13	OK	Triggered	Digital	520	462 ms	25 August 214540.62
14	OK	Triggered	Digital	520	462 ms	25 August 215131.17
15	OK	Triggered	Digital	520	462 ms	25 August 215723.02

Table 1	
1996 Experiment	overview



Fig. 4. Fitted model trajectory for the triggering rockets (see text). Time/height are measured from the instant/altitude that the rocket's tail emerges from its launch tube. All units are MKS.

extent of the pre-trigger soundings and minimizing the risk of intervening natural lightning.

## 4. Data

Table 1 gives an overview of the experimental data that were obtained. The weather afforded opportunities to launch 15 pairs of sounding and triggering rockets during the month of August 1996, and nine lightning flashes were triggered. Of the 15 sounding-rocket launches, no telemetry data were obtained from one, and the early part of another was lost. Of the remaining 13 soundings, two were closely followed by natural lightning, before the triggering rockets reached full altitude, and the triggering wire broke after

Flight	$H_{\mathrm{T}}$	$E_{\mathrm{T}}$	VT	
	(m)	(kV/m)	(MV)	
2	447	12.4	-5.0	
6	307	14.5	-4.7	
7	295	19.4	-4.1	
8	279	15.0	-3.6	
9	312	13.4	-3.7	
12	336	15.9	-4.6	
13	230	18.5	-3.6	
14	324	17.3	-4.2	
15	299	16.4	-4.1	

Table 2 Triggering height, field, and potential vs. flight number

Flight	Hp	Ep	Vp	
0	(m)	(kV/m)	(MV)	
2	213	10.6	-2.18	
5	116	12.5	-1.27	
6	112	15.2	-1.62	
7	156	14.9	-1.63	
8	148	13.6	-1.57	
12	146	13.7	-1.72	
13	102	15.6	-1.36	
14	181	13.9	-1.93	
15	151	13.7	-1.76	

Table 3 First-precursor height, field, and potential vs. flight number

another. This leaves 10 cases for which useable data were obtained, with successful triggering in all but one of these.

A major disappointment was that no upward leaders were imaged on any of the streak photographs, in spite of attempts with two different streak cameras having different spectral sensitivities (visible or near ultraviolet), so we have no direct observations of leader velocity. It should be possible, however, to infer this parameter from the electrical data in many cases. (This will be the subject of a future paper.) There was also an unplanned,  $10 \times$  sensitivity decrease in the current data between 11 and 14 August. This left the instrumentation tape recordings of leader current very noisy after Flight 7 and caused triggering problems for the digitizers on Flights 8 through 10.

The only remaining significant calibration uncertainty is the effect of terrain, trees, the triggering trailer, etc., on the absolute sensitivity of the field and field-change instruments (see Fig. 3). This 'site factor' for rapid field fluctuations is assumed to be the same for all of these instruments. It was estimated by plotting the observed fast-field change against the calibrated signal strength reported by the National Lightning Detection Network (electric-field-change calibration provided by Cummins, 1997, personal communication) for 12 return strokes in five nearby natural-lightning flashes. The resulting regression line had a slope of 0.93, with a standard error of  $\pm 0.12$ , and an intercept very close to the origin. Evidently, more strokes must be analyzed to reduce the uncertainty in this slope (the site factor) within acceptable bounds. Therefore, the field and field-change data shown in Figs. 5 and 9 must be regarded as uncertain to roughly  $\pm 15\%$ .

Here, we present sample data from Flight 6—especially interesting because it exhibited both a failed leader, which apparently propagated only a few tens of meters, and a successful leader that produced the lightning flash. Fig. 5 shows 'raw' data from the sounding rocket. The triggered lightning caused the large field change just over 5 s after ignition, at which time the sounding rocket had reached an altitude of about 1500 m. Notice that, above the poorly resolved, corona-space-charge layer, the longitudinal field was relatively constant at about 15 kV/m. Since the transverse component (perpendicular to the rocket trajectory) of the field remained significantly smaller than



Fig. 5. Raw sounding-rocket data from Flight 6. The longitudinal component (along the nearly vertical rocket trajectory) of the ambient electrostatic field is plotted against the left scale, and the rocket altitude against the right scale, vs. time after ignition for the first 6 s of the flight. All calibrations have been applied, but there has been no objective filtering of the field data (see Appendix A). Positive longitudinal field corresponds to dominant negative charge overhead.

the longitudinal component until the lightning occurred, this figure gives a fair representation of the vertical field component.

Fig. 6 shows the ground-based field-mill record during the triggered event in Flight 6. Notice the gradual decrease caused by elevation of the grounded wire by the triggering rocket, followed by the more rapid field reversal due to the lightning. The fact that the field reverses sign is, of course, another manifestation of the positive, corona-produced space charge near the ground (e.g., Standler and Winn, 1979). Fig. 7 gives an overview of the current flowing in the triggering wire. (Note that the 'physics' sign convention used throughout this paper is that the current 'vector' points in the effective direction of motion of positive charge. Thus, a positive vertical current corresponds to positive charge moving upward.) The successful leader onset appears in the last 5 ms of this 1.04 s record, when the triggering rocket had reached an altitude of 307 m. The digitizer triggered on the first precursor, which occurred 0.9 s earlier, at an altitude of about 112 m. In between, the failed leader is just visible 0.6 s after the trigger (followed by a 157 ms quiet period), when the triggering rocket was at an altitude of about 260 m. Each of these events will be examined in more detail below. (Note that the resolution of the digital current record is 9 mA. Therefore, the 'glow' corona prior to the onset of precursors, which was observed by Standler, 1975, pp. 97–107, using a substantially slower triggering rocket, to be on the order of a few milliamperes, is not detectable.)

Most of the precursors visible in Fig. 7 consist of a single impulse. For example, Fig. 8 shows the first precursor at much higher time resolution. Note the damped oscillations caused by multiple reflections, at the bottom and top of the triggering wire, of the initial



Fig. 6. Surface-field record for the triggering event of Flight 6. The trigger time of the digitizers is taken as t = 0 in this and all subsequent plots of current or field change. The triggering rocket was launched at -1.32 s, and the upward leader was triggered at +0.90 s (marked with arrows). The response time of the field mill was about 0.1 s. Positive (upward) deflection corresponds to dominant negative charge overhead.



Fig. 7. Overview of current in the triggering wire during Flight 6. The digitizer was set for 1/8 pre-trigger samples. Time is measured relative to the digitizer trigger, indicated by the dotted vertical line, which occurred at 18:29:40.598 UT. The total duration of the record is 1.04 s. The digitizer saturated at about +19 A on most of the 'precursor' pulses. Positive (upward) deflection corresponds to the flow of positive charge toward the cloud.



Fig. 8. The current measured during the first precursor in Flight 6 with much expanded time resolution. Again, the dotted vertical line indicates the trigger time.



Fig. 9. The current (upper trace, left scale) and field change (lower trace, right scale) measured during the precursor that occurred 555 ms after the first precursor in Flight 6. Recall that the current record saturates at about 19 A. The raw fast-field-change data have been 'deconvolved' to remove the effects of both the time constant of the analog integrator and the high-pass characteristic of the Nanofast OP-50 fiber optics. Negative (downward) field change corresponds to deposition of positive charge overhead.



Fig. 10. The current measured during the first 5 ms of the successful leader that begun at the end of Fig. 7, 905 ms after the first precursor.

current waveform injected by the discharge into the top of the wire. From the time interval between reflections, it is possible to estimate with reasonable accuracy the length of the triggering wire at the time of the precursor. Fig. 9 illustrates a later



Fig. 11. The current during the first few impulses of the successful leader (Fig. 10), plotted on the same time scale as Figs. 8 and 9, for comparison. Recall that the current record saturates at about 19 A.



Fig. 12. The current measured during the failed leader that occurred 611 ms after the first precursor, plotted on the same time scale as Fig. 10 for comparison. The 1.8 ms interval of presumed leader propagation is indicated by the arrows.

precursor in the same triggering event that contains four ringing impulses. The individual current impulses that make up a precursor tend to be separated by roughly 30  $\mu$ s, whereas the interval between the precursors themselves tends to be of order 10 ms. Fig. 9 also shows the field-change waveform during this four-impulse precursor. Notice that a net negative field change corresponds to each current impulse, consistent with the deposition of a net positive charge overhead.

The onset of the successful leader is illustrated in Figs. 10 and 11. Fig. 10 shows that the leader current begins with a series of ringing impulses that gradually damp out and merge into a slowly varying current, reaching a magnitude of about 5 A by the end of the digital record. Fig. 11 is plotted with the same scales as Figs. 8 and 9, showing that the first few impulses in the leader are very similar to those in the precursors. These observations are in accord with those reported previously, e.g., by Laroche et al. (1988).

Fig. 12 shows the current record during the failed leader that immediately preceded the quiet period in the latter third of Fig. 7. Note that the beginning of this current waveform looks very similar to that of the successful leader in Fig. 10. To our knowledge, no similar evidence of failed leaders has been reported in classical rocket-triggered lightning.

#### 5. Analysis and discussion

### 5.1. Failed leader

Some insight into the propagation of rocket-triggered positive leaders can be obtained quite simply from these data. For example, the length and average speed of the failed leader in Flight 6 can be estimated by the following kinematic argument. We assume that the quiet period (lack of precursors) in Fig. 7 results from shielding of the triggering rocket from the ambient field by the space charge left behind by this leader. The duration of the quiet period (neglecting the small isolated current pulse about three quarters of the way through it) is 157 ms, during which time the triggering rocket advanced approximately 35 m (travelling at 220 m/s). If the rocket followed the leader trajectory, this distance must approximately equal the leader length. Based on the current record in Fig. 12, the failed leader propagated for a total time of 1.8 ms, implying an average speed of about  $1.9 \times 10^4$  m/s. This is in reasonable agreement with data on tens-of-meters-long laboratory sparks (e.g., Pigini et al., 1979; Mrázek et al., 1996) and with photographic measurements of the early development of rocket-triggered positive leaders in Japan (e.g., Kito et al., 1985).

## 5.2. Precursor impulses

Because of the apparent similarity of the individual current impulses that make up leaders and precursors, it is of interest to examine further the nature of these component discharges. Following Bondiou et al. (1994, Fig. 5), we assume that each such impulse is caused by the sudden development of an extensive corona 'fan' of positive streamers (also suggested by the streak imagery of Idone (1992, Fig. 2)), followed by the more gradual growth of a shorter segment of leader channel, from which another streamer fan will emerge at the beginning of the subsequent impulse. Using this physical model, we can apply a kinematic argument similar to the above to estimate the dimensions of the streamer and leader sections of the discharge.

The time intervals between successive precursors in the digital current and fast-field records of Flights 6, 7, 12, 13, 14, and 15 were measured and converted into the corresponding vertical distances travelled by the triggering rockets. These distances were then grouped according to the number of impulses in the first precursor of each pair and plotted in Fig. 13. Because not all precursor impulses are identical, and because of the finite noise level in the current data, there was some uncertainty about the number of impulses in certain precursors. We avoided this counting problem by simply ignoring the intervals following such 'ambiguous' precursors.

Although there is a lot of scatter in Fig. 13, there is a clear trend toward greater rocket travel following precursors containing more impulses. Linear regression gives a correlation of 0.24, which is well above the 99% confidence level for 293 degrees of freedom. The slope and intercept of the regression line in the figure are 0.7 m/impulse and 2.0 m, which may be interpreted as the average extension of the leader channel produced by each impulse and the longitudinal dimension of each streamer fan, respectively. The value of the intercept is in good agreement with the mean altitude interval, 2.0 m (standard deviation, 1.8 m), following 260 single-impulse precursors. The statistical significance of the slope has been confirmed by Student's *t*-tests comparing the mean altitude intervals following precursors having different numbers of impulses.

# 5.3. Precursor / successful-leader onset conditions

Next, we present a preliminary analysis of the triggering conditions. Triggering heights were deduced from still photographs of the lightning channels, taken from the



Fig. 13. Height interval between triggering-rocket altitudes at the times of pairs of precursors, plotted against the number of *additional* impulses (not counting the first impulse) in the initial precursor of each pair. A total of 89 out of 384 precursor pairs were omitted because of ambiguous impulse counts (see text). The solid line is the least-squares fit to the data.

telemetry site at a range of almost 2 km. Time series of ambient field from the sounding rockets, like that in Fig. 5, were converted into altitude profiles like Fig. 14, with reference to the known trajectories. The data shown in Fig. 14 have been filtered objectively (see Appendix A) to remove problematic values, and the surface field measured by the ground-based field mill has been included. Also shown in this figure is the height profile of electrostatic potential, which can be accurately computed by integrating the longitudinal-field component along the trajectory, as long as the ambient field does not vary significantly with time over the <6 s required to measure the profile. (Naturally, the potential is taken to be zero at ground and becomes negative as the rocket approaches the negative charge centre of the cloud). The values of longitudinal field and ambient potential at the sounding rocket, plotted here and given in the subsequent tables, are believed accurate to  $\pm 5\%$ , as discussed in Appendix A. It is interesting to note in Fig. 14 that the potential at 1500 m (just before the triggered lightning) is about -22 MV, an appreciable fraction of the presumed potential extremum in the negative charge centre.

Table 2 shows the triggering height,  $H_{\rm T}$ , together with the ambient field and potential at that height (hereafter referred to as the triggering field,  $E_{\rm T}$ , and triggering potential,  $V_{\rm T}$ ), for each of the nine triggered flashes. These three parameters are plotted against one another in Fig. 15. The correlation between  $V_{\rm T}$  and  $H_{\rm T}$  in the top left frame of this figure is -0.80, statistically significant at the 99% confidence level. The correlation between  $E_{\rm T}$  and  $H_{\rm T}$  in the top right frame, however, is not quite significant, at -0.65(the 95% confidence level is 0.67), and that between  $E_{\rm T}$  and  $V_{\rm T}$  is only 0.40.



Fig. 14. Ambient electrostatic field and potential profiles from Flight 6. Objective filtering (see Appendix A) has been applied. The overplotted points show the interpolated values of ambient field and potential at the triggering altitude—307 m in this case. Note that the field measurements above 1570 m AGL are influenced by the triggered lighting, so that the potential profile is invalid above that height.

We had been hoping to demonstrate both a decrease in triggering height and a decrease in the magnitude of triggering potential with increasing field aloft. The latter relationship, in particular, is suggested by the model results of Lalande et al. (1997)—see below. Although the (non-significant) regression lines in the corresponding frames of Fig. 15 do hint at these relationships, the range of ambient fields encountered during our experiment was apparently insufficient to overcome the scatter introduced by other sources.

A similar set of plots, based on data listed in Table 3, is presented in Fig. 16 for the occurrence of the first precursor in each of a slightly different group of nine flights. Flight 5 has been included, since precursors were observed before the triggering wire broke, but Flight 9 has been omitted because of the poor signal-to-noise ratio on the analog-tape recording of current. Here,  $H_{\rm p}$ ,  $E_{\rm p}$ , and  $V_{\rm p}$  represent the triggering-rocket height (deduced from the fitted trajectory of Fig. 4) at the time of the first precursor and the field and potential at that height, respectively. These events represent the first observable discharges from the triggering wire. Based on the aforementioned similarity between the individual impulses that constitute precursors and leaders, their onset conditions are surely significant. The correlation between  $V_{\rm p}$  and  $H_{\rm p}$  in the top left frame of Fig. 16 is -0.91, statistically significant at well above the 99% confidence level. The correlation between  $E_{\rm p}$  and  $H_{\rm p}$  in the top right frame, -0.68, is significant at the 95% level, but that between  $E_{\rm p}$  and  $V_{\rm p}$ , 0.52, is again not significant.

Fig. 17 combines all the data from the previous two figures. It is suggestive that the regression lines for precursor and leader onset have similar slopes in each frame



Fig. 15. Triggering height,  $H_{\rm T}$ , field,  $E_{\rm T}$ , and potential,  $V_{\rm T}$ , plotted against one another from Table 2, with the corresponding regression lines.

(although this comparison is not statistically significant, except in the case of potential vs. height) but are offset from one another. The precursors begin at lower heights, fields, and potentials than the corresponding leaders, of course, although the ranges of ambient-field intensity for the two processes overlap.

The self-consistent model of Bondiou and Gallimberti (1994) was first adapted to classical rocket-triggered lightning by Bondiou et al. (1994). More recently, Lalande et al. (1997) have applied an improved adaptation of the model to the positive leaders that begin upward-initiated negative flashes to tall towers. Although this new adaptation still is not perfectly suited to rocket-triggered lightning (e.g., it neglects the space charge due to 'glow' corona around the tip of the wire and the motion of the rocket through this charge), it should be able to predict the transition between a multiple-pulse precursor (failed leader) and a self-sustaining leader. Therefore, Lalande et al. (1997, Eq. 1) is plotted as a solid curve in Fig. 17. Interestingly, these model predictions indicate a stronger correlation between  $E_{\rm T}$  and  $V_{\rm T}$  than that suggested by the data. Nevertheless,



Fig. 16. First-precursor height,  $H_{\rm P}$ , field,  $E_{\rm P}$ , and potential,  $V_{\rm P}$ , plotted against one another from Table 3, with the corresponding regression lines.

direct comparison is not possible because the model was run only for stronger ambient fields, and correspondingly lower triggering heights, than those observed in the experiment. Model/data intercomparison will be the subject of a future paper.

Flight 11 was the only one of our 10 good cases in which no lightning was triggered (see Table 1). In this case, the longitudinal field measured by the sounding rocket between the ground and 2 km altitude ranged between 2.5 and 4.5 kV/m—much weaker than in any other flight. The ambient potentials at 500 and 1500 m were only -1.4 and -5.1 MV, respectively. Although we cannot be absolutely certain that no precursors occurred in this case, because of the relatively poor signal-to-noise ratio on the analog tape recording, no currents, fast-, or slow-field changes were observed.

# 5.4. General remarks about ambient-field profiles

Within the lowest 2 km of the 14 usable rocket soundings (usually within a shallower layer, depending on how soon after launch the lightning occurred), the maximum



Fig. 17. Height, field, and potential for triggering (solid diamonds) and for precursor onset (open diamonds) plotted against one another, with the corresponding regression lines, from Figs. 15 and 16. The failed-leader onset conditions from Flight 6 (260 m, 14.8 kV/m, -4.0 MV) have been added as solid gray circles, not apparently distinguishable from the successful leaders. Also plotted are the model predictions of Lalande et al. (1997, Eq. 1) (see text).

longitudinal field ranged between 4.5 kV/m (in Flight 11) and 24 kV/m (in Flight 13 —see Fig. 18). Sometimes, there was a significant relative maximum in this field component, suggestive of either a layer of negative space charge aloft or a substantial transverse field component. The latter was indeed evident at the higher altitudes during Flights 2, 8, and 9. (The 'navigation' of the measured field components to the earth-based coordinate system—not a trivial task due to rapid rolling of the rockets in flight—will permit quantitative analysis of such features in a future publication.)

We were surprised by the relatively small magnitudes of the ambient fields encountered by the sounding rockets, although this is qualitatively consistent with the relatively large triggering heights and the invisibility of the upward leaders to the streak camera. The largest fields aloft at any altitude were measured during Flight 9, when the total field magnitude hovered around 38 kV/m between about 15 s and 25 s into the flight (3200 and 3700 m AGL, respectively). The general absence of intense fields was presumed due to our launching into the edges of decaying storms or into relatively weak storms, both because of the weather during our experiment and in order to minimize the chances that natural lightning would spoil our measurements. No radar analysis of the structure of these storms has been performed to date.

Often, there was clear evidence of an extended screening layer above the ground (e.g., Standler and Winn, 1979; Soula and Chauzy, 1991). For example, Fig. 18 shows the longitudinal-field and potential profiles for Flight 13. In this case, the transverse-field component was negligible throughout the altitude range plotted. Notice that a layer of positive space charge extends about 500 m above the ground. If we take the longitudinal field as a good representation to the vertical field and assume horizontal homogeneity, then the average space-charge density within this layer was about  $0.3 \text{ nC/m}^3$ , although the charge density in the lowest 60 m appears to have been about three times this value.



Fig. 18. Similar to Fig. 14 but for Flight 13, the case with the strongest longitudinal fields below 2 km altitude. The screening layer is evident in the lowest 500 m.

These charge densities are consistent with the values measured in Florida by Standler and Winn (1979).

# 6. Conclusions

Our primary conclusion is that lightning can be triggered with the rocket-and-wire technique in ambient fields aloft much smaller than previously demonstrated (although this was anticipated by Pierce, 1971, and probably by others). It is clear from the data presented here that fields as low as 13 kV/m are sufficient with a long-enough triggering wire (on the order of 400 m). The potential difference between the grounded wire and the environment can be as small as 3.6 MV when a successful positive leader is initiated.

Precursors (the brief current pulses from the tip of the wire having current and field-change signatures similar to those at the onset of leaders—see above) are initiated at similar ambient-field intensities (at least in our cases) but at roughly half the triggering-rocket altitude, where the wire-ground potential difference can be as small as 1.3 MV. It would be of great interest to understand fully the nature of these precursors and the conditions that are required for them to develop into successful leaders. It would also be of interest to understand why the failed leader in Flight 6 did not continue to propagate.

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# Appendix A

#### A.1. Design improvements

The original design and successful testing of the sounding rocket has been described in detail by Willett et al. (1992, 1993) and summarized by Marshall et al. (1995). For the present experiment, two stages of design improvements have been made. First, the Harris Corporation reworked the entire design in 1993. In addition to numerous simplifications of internal construction, the following significant improvements were made. (1) The longitudinal spacing of the stators along the payload body was made even and was increased by 15.4 cm between the top and bottom stators (the overall payload length was increased by only 5.4 cm). (2) The nose 'cone' was changed from conical to hemispherical, with a radius of curvature of 3.75 cm (slightly larger than the rocket body to discourage water runoff into the forward rotating-shell bearing). (3) The noise level of the electronics was significantly reduced by improved board layout. (4) A gain switch was added to facilitate changing the nominal, peak-to-peak, full-scale ranges of all eight field mills by identical factors of 2 from 500 kV/m to 4 MV/m in three steps. The data presented here were collected with a nominal range of 1 MV/m, similar to that of the original payloads. (5) A 12-bit frame (sample) counter was added to the telemetry stream to facilitate synchronization of data among several independent receivers.

After a largely unsuccessful field campaign at Langmuir Laboratory, NM, in 1994, a second design revision was undertaken by Mission Instruments Company during 1995–1996. The external payload dimensions (hence, the ambient-field calibration) of the Harris design were preserved, while the following major improvements were made. (6) A motor-speed controller was implemented, along with separate battery strings for motor and electronics, to maintain the shell-rotation rate constant through rocket acceleration/deceleration without jeopardizing the power supplied to the electronics. (7) The pressure sensor used to determine apogee altitude was placed in a temperature-controlled oven to eliminate its severe temperature sensitivity.

Mission Instruments constructed 20 new payloads, five of which included switched high-voltage supplies (and corona assemblies to be mounted on the aft end of the rocket motor) to periodically charge the rocket positively for a functional check and precise potential calibration in flight, as described in the references. The overall length of the vehicle was 2.13 m, from the tip of the hemispherical nose of the payload to the tips of the extended tail fins of the rocket motor. The top, middle, and bottom stators were centred 17.8, 47.1, and 76.4 cm from the nose tip, respectively.

## A.2. Laboratory calibrations—longitudinal field

An extensive series of calibrations was carried out on the Harris payloads before the 1994 field campaign. To obtain an absolute calibration for the longitudinal component of ambient field, the entire vehicle (payload plus rocket motor, fins extended) had to be suspended between horizontal, parallel, conducting plates. In this configuration, the conducting vehicle would be polarized by the longitudinal field, of course, and its images in the two plates would perturb the field to which it was exposed. The relative magnitude of this perturbation was estimated by considering only the first image in each plate, replaced by a conducting, prolate spheroid of comparable dimensions. In this way, it was found that the field perturbation fell below 1% when the plate spacing exceeded about 6 m. Thus, the vertical plate separation was chosen to be 20 ft—comfortably lower than the ceiling of our high-bay building at AFRL/VSB.

The next question was the horizontal extent of the parallel plates required to produce a sufficiently uniform field. The grounded plate was clearly going to be the floor of the (grounded) building, the energized plate to be suspended 20 ft overhead. Since this configuration violates the symmetry of the usual, parallel-plate-capacitor model (e.g., Jeans, 1951, pp. 274–276), the field between the two plates had to be re-calculated. Interestingly, it was found that breaking the symmetry causes the fringing-field perturbation to increase rapidly with vertical distance above the floor. (In effect, the energized plate and its image in the ground plane become twice as far apart, and the measuring point is no longer centred between them.) To keep this perturbation less than 1% over the length of the rocket, it was found necessary to double the horizontal dimensions of the upper plate (relative to that required for the symmetrical case) to about 20 m—far too large to construct easily or to fit inside our building.

Our solution to this problem was to construct a rectangular box, the top being the energized plate suspended from the ceiling and the bottom being a conductive mat laid on the floor. The side walls of this box were made of resistive plastic of the sort used to package static-sensitive integrated circuits. In this way, the vertical potential gradient on the walls was forced to be uniform, eliminating fringing. It remained to compute the new field perturbation caused by images of the polarized rocket in the side walls. Considering only the first image in each of the four walls, it was found that the horizontal dimensions of our uniform-field chamber must exceed 4.5 m to reduce this perturbation below 1%. Thus, it was decided to make the upper plate a 16-ft square.

The rocket was suspended inside the chamber with nylon monofilament. It was found necessary to grease this line with silicone oil to prevent significant net charge from leaking to the rocket when high voltage was applied to the upper plate (Jonsson, 1990). By inverting the rocket and by suspending it horizontally at different heights within the chamber, it was possible to verify that the field inside was indeed sufficiently uniform.

Numerous longitudinal-field calibrations were carried out in the chamber described above. The gain switches on the payloads were in their most sensitive settings ( $\pm$ 500 kV/m). Voltages on the upper plate ranged from +2.8 to +102 kV, and the rocket was suspended both upright and inverted (corresponding to longitudinal-field magnitudes ranging from  $\pm$ 0.47 to  $\pm$ 16.7 kV/m). The final calibration coefficients are summarized in Table 4 at the end of this appendix. Space does not permit a thorough description of these measurements; they will be covered in detail in a future technical report. Two points are worth mentioning, however (refer to the notation in Marshall et al., 1995, Appendix B, but ignore the primes that they used to distinguish the rocket's from Earth's coordinate system).

(1) It is extremely difficult to assure that the net charge on an isolated vehicle in a non-zero ambient field is exactly zero, or even constant, during a set of measurements. This considerably complicates the calibration process. In particular, it becomes very difficult to precisely remove the effect of longitudinal-field,  $E_z$ , variations from the calculated values of vehicle potential, V (which depends on precise ratios of the longitudinal-field coefficients,  $a_{iz}$ ), even if the potential coefficients,  $a_{iy}$ , are perfectly known.

(2) With a known longitudinal field,  $E_z \equiv G$ , applied in the calibration chamber, producing the sums of opposing mill readings,  $F_{ip} \equiv G_{ip}$ , Eq. B3 of Marshall et al.

$$a_{tz} = \left[ a_{bz} - (G_{bp}/G) \right] (a_{tv}/a_{bv}) + G_{tp}/G$$
  
$$a_{mz} = \left[ a_{bz} - (G_{bp}/G) \right] (a_{mv}/a_{bv}) + G_{mp}/G.$$
 (A1)

Note that the above formulas depend only on *ratios* of the  $a_{iv}$ , which can be precisely determined in flight (see below). Substituting Eq. (A1) into Eq. B4 of Marshall et al. (1995), we find that the three computed estimates of  $E_z$ ,  $E_{zij}$ , are *independent* of the unknown,  $a_{bz}$ . The computed estimates of V,  $V_{ij}$ , on the other hand, depend on  $a_{bz}$ , as well as on the absolute values (as opposed to ratios) of the  $a_{iv}$ .

By choosing the value of  $a_{bz} = G_{bp}/G$  that makes the  $V_{ij} = 0$  in a given chamber measurement, we find that Eq. (A1) reduces to:

$$a_{tz} = G_{tp}/G$$

$$a_{mz} = G_{mp}/G.$$
(A2)

These are just the values of the  $a_{iz}$  that we would have computed had we *assumed*  $V \equiv 0$  in the chamber calibration, of course. The point here is that choosing an incorrect value for  $a_{bz}$  in Eq. (A1) does not prevent the accurate estimation of  $E_z$  in flight, even though it means that we cannot precisely determine V.

### A.3. Laboratory calibrations—transverse field

The transverse-field coefficients,  $a_{iy}$ , were also determined for the Harris payloads before the 1994 field campaign. This was done with the sounding rocket hanging horizontally, during the tests of field uniformity in the calibration chamber, mentioned above. After the 1996 field campaign, one of the remaining Mission Instruments payloads was placed in a much smaller, uniform-field apparatus so that one of the transverse-field coefficients,  $a_{my}$ , could be accurately measured. The result was found to be the same as in 1994.

# A.4. Laboratory calibrations—vehicle potential

The 'self-potential' coefficients,  $a_{iv}$ , were estimated for each of the Mission Instruments payloads before the 1996 field campaign. This was done by hanging each sounding rocket vertically by greased monofilament, far from the floor, walls, and ceiling of the high-bay building, and applying known potentials to its tail, as described previously by Marshall et al. (1995, Appendix B) and by Willett et al. (1993, Section 3.4).

# A.5. In-flight validation

Several techniques for in-flight validation of the calibrations were discussed by Marshall et al. (1995, Appendix B) with reference to the 1992 data. All of these approaches have been applied successfully to the 1996 experiment, as briefly summarized here.

Since the three  $F_{ip}$  should not be linearly independent, it is possible to find the coefficients, *a* and *b*, in the expression:

$$F_{\rm mp} = aF_{\rm tp} + bF_{\rm bp},\tag{A3}$$

that best fits the in-flight data in a least-squares sense (Willett et al., 1993, Section 5.3.1). This technique, which is entirely independent of any estimates of the calibration coefficients, has been applied separately to each flight. Excessive residuals were used (together with excessive standard deviations among the three simultaneous estimates of one transverse-field component,  $E_{yi}$ ) to objectively eliminate individual data points that violated the 'linear model' of the sounding rocket, as in Fig. 14.

We have found that the values of the coefficients in Eq. (A3) can be used to estimate the absolute value of  $a_{bz}$ , which may not be reliably determined by the laboratory calibration described above:

$$a'_{bz} = \left(a_{mz} + aa_{tz}\right)/b,\tag{A4}$$

as well as that of one of the potential coefficients:

$$a'_{\rm bv} = (a_{\rm mv} + aa_{\rm tv})/b. \tag{A5}$$

Although Eq. (A4) cannot be used to iterate Eq. (A1) for the 'best' values of all the  $a_{iz}$ , it does serve as a valuable check on the chosen value of  $a_{bz}$ . Similarly, Eq. (A5) can be used to check the laboratory measurement of  $a_{by}$ .

For the payloads equipped with high-voltage supplies (Flights 1, 4, 8, and 14), it was possible to accurately determine ratios among pairs of the potential coefficients,  $a_{iv}/a_{jv}$ , during the flights (Willett et al., 1993, Section 4.3.4). Since it is only these ratios (as opposed to the absolute values of the  $a_{iv}$ ) that enter into the calculation of the  $E_{zii}$ , this provides a valuable refinement of the laboratory potential calibrations.

Another check on the chosen value of  $a_{bz}$  can be found from these same four flights. If  $F_{ip1}$  and  $F_{ip2}$  represent the values of the sums of opposing-mill measurements before and after the on-board high-voltage supply is energized, respectively, then:

$$a_{bz}'' = \frac{a_{tz}(-F_{bp2}F_{mp1} + F_{bp1}F_{mp2}) + a_{mz}(F_{bp2}F_{tp1} - F_{bp1}F_{tp2})}{F_{mp2}F_{tp1} - F_{mp1}F_{tp2}}.$$
 (A6)

Finally, Flight 11 exhibited a nearly uniform and vertical ambient field near apogee. Analysis of these data indicates good agreement between the longitudinal- and transverse-field calibrations, as previously described by Willett et al. (1993, Section 5.3.2).

#### A.6. Final calibration coefficients

Based on the measurements and theory described above, the best overall set of calibration coefficients for the Mission Instruments payloads is given in Table 4. The corresponding values from the 1992 experiment (for similar payloads of somewhat different dimensions) are shown for comparison (Marshall et al., 1995, Eq. B2).

The values in the table for the  $a_{iz}$  were obtained by selecting the 1994 chamber calibration of a Harris payload that best met the following four criteria. (1) The apparent charge on the rocket was of the correct polarity and consistently increasing with increasing applied field throughout the particular series of measurements. (2) The applied longitudinal field was high enough to give precise measurements at all the mills, but low enough not to significantly charge the rocket by corona from the tail fins. (3)  $E_{ztb}$  and  $E_{zmb}$  computed with these  $a_{iz}$  showed the best agreement throughout the 1996 flight data. (4) The laboratory measurement of  $a_{bz}$  was in the best agreement with the in-flight estimates from Eqs. (A4) and (A6), above.

Coefficients	Present	1992	
$\overline{a_{t_7}}$	4.93	3.84	
a <sub>m z</sub>	2.93	2.39	
a <sub>bz</sub>	1.59	1.41	
$a_{\rm ty}$ (1/m)	4.347	4.393	
$a_{\rm mv}$ (1/m)	3.754	3.998	
$a_{\rm hv} (1/{\rm m})$	3.614	3.854	
a <sub>tv</sub>	0.974	1.045	
a <sub>m v</sub>	0.971	1.034	
a <sub>by</sub>	0.959	1.033	

Table 4 Calibration coefficients for the 1996 sounding rockets

The values in Table 4 for the  $a_{iv}$  were obtained from the best laboratory measurement of  $a_{bv}$ , combined with the average ratios,  $a_{tv}/a_{bv}$  and  $a_{mv}/a_{bv}$ , measured during the four, in-flight, potential calibrations. This laboratory value of  $a_{bv}$  was found to be in good agreement with the in-flight results from Eq. (A5) above. The resulting values for the  $a_{iv}$  proved significantly better at removing the effects of self-potential variations on the  $E_{zij}$  than the pure laboratory calibrations. Thus, we conclude that on-board high-voltage supplies can appreciably improve the accuracy of ambient-field measurements with sounding rockets like those described here.

The values in Table 4 for the  $a_{iy}$  were taken directly from the 1994 chamber calibrations of the Harris payloads.

Some small adjustments to the calibration coefficients in Table 4 were made for analysis of the individual flights. First, for Flights 1, 4, 8, and 14, the corresponding, in-flight, potential calibrations were used instead of their averages. Second, in each of the remaining flights, the value of  $a_{bv}$  was adjusted according to the result given by Eq. (A5) for that particular flight. Third, for each flight, the value of  $a_{bz}$  was adjusted according to the result given by Eq. (A4) for that flight. In each case, these adjustments were found to improve the agreement between the  $E_{ztb}$  and  $E_{zmb}$ , and to reduce the apparent effect of abrupt changes in the apparent value of V on these estimates, without significantly changing the inferred magnitudes of  $E_z$ .

Based on the construction of the calibration chamber, measurement of the applied voltage, repeatability of the chamber measurements, sensitivity of in-flight estimates of  $E_z$  to sudden variations in apparent V, and dependence of these in-flight estimates on which set of 1994 calibration coefficients was used, we conclude that the absolute accuracy of the longitudinal-field measurements reported in this paper is better than  $\pm 5\%$ .

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